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\* A Professional Corporation **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Mahendra S. Rao, Margot Mayer-Proschel  
and Anjali Kalyani

Serial No.: 09/109,858

Filed: July 2, 1998

For: LINEAGE-RESTRICTED NEURONAL PRECURSORS

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION**

ATTENTION: BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed August 20, 1998, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service First Class Mail service, postage prepaid, on this 20<sup>th</sup> day of October, 1998, and is addressed to: Assistant Commissioner for Patents, Box: Missing Parts, Washington, D.C. 20231.

*Alan J. Howarth*  
Alan J. Howarth

(1) a copy of the Notice to File Missing Parts of Application - Filing Date Granted;

(2) an Assignment from the inventors, Mahendra S. Rao, Margot-Mayer Proschel, and Anjali J. Kalyani, to the University of Utah;

(3) an Assignment from the University of Utah to the University of Utah Research Foundation;

(4) a Declaration Claiming Small Entity Status for a Nonprofit Organization for the University of Utah, for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code;

(5) a Declaration Claiming Small Entity Status for a Nonprofit Organization for the University of Utah Research Foundation, for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code;

(6) a Declaration, Power of Attorney and Petition; and

(7) check No. 4852 in the amount of \$1,279.00 to cover the filing fee for the above-identified application and the surcharge fee pursuant to 37 C.F.R. § 1.16(e).

These fees have been calculated as follows:

Basic filing fee for a small entity	=	\$ 395.00
Fee for independent claims (8 - 3 x \$41)	=	\$ 205.00
Fee for multiple dependent claim (1 x \$105)	=	\$ 105.00
Fee for total claims (59 - 20 x \$11)	=	\$ 429.00
Assignment recordation fee (Two Assignments)	=	\$ 80.00
Surcharge fee for a Small Entity	=	\$ 65.00
<b>TOTAL</b>	<b>=</b>	<b>\$ 1,279.00</b>

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 20-0100 for the following:

  x   Any patent application processing fees under 37 C.F.R. § 1.17.

  x   Any additional filing fees required under 37 C.F.R. § 1.16.

Please address all future correspondence in connection with the above-identified patent application to

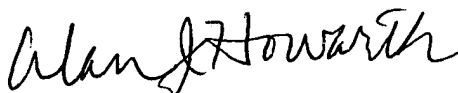
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Dated this 20<sup>th</sup> day of October, 1998.

Respectfully submitted,



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Enclosures  
Docket No.: T5530.CIP

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